

1273092

THE SELECTION WILLIAM WHENEY PRESENTS SHAME COMES

#### UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

January 13, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/530,273 FILING DATE: December 16, 2003

RELATED PCT APPLICATION NUMBER: PCT/US04/42295



Certified By

Jon W Dudas

Under Secretary
of Commerce for Intellectual Property
and Acting Director of the
Unites States Patent and Trademark Office

BEST AVAILABLE COPY

PTO/SB/16 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing 1/2; nder 37 CFR 1.53(c). ER538322542US

		INVENTO	R(S)		-			
Given Name (first and middle [if any]		Family Name or Sumame		(City a	Residence (City and either State or Foreign Country)			
George Ernest		Dubois			San Luis Obispo, CA			
Additional inventors are being named on the		ONE separately numbere			ed sheets attached hereto			
	TITI	LE OF THE INVENTION						
Dimet all company days		Bicycle Crank A	ssembly					
Direct all correspondence	e ro: CORR	ESPONDENCE ADDRESS					<u>0</u>	
Customer Number:							<u>_</u> €	
							0.8 029	
OR Simon		····					) 23(	
Firm or Individual Name	Garrett A. Smith	.•				0/35		
Address	1365 Bishop Str					50		
Address								
City	San Luis Obispo	)	State	CA	Zip	93401		
Country	U.S.A.		Telephone	8055490907	Fax	805-549-8228		
·	ENCLO	SED APPLICATION PAI	RTS (check all	1		000-043-0220		
METHOD OF PAYMENT  Applicant claims sn	eet. See 37 CFR 1.76 OF FILING FEES FO nall entity status. See	R THIS PROVISIONAL API				3 FEE		
A check or money order is enclosed to cover the filing fees.  The Director is herby authorized to charge filing fees or credit any overpayment to Deposit Account Number:  Payment by credit card. Form PTO-2038 is attached.					.00			
No.	nt.	nited States Government or			cy of the			
Respectfully submitted, SIGNATURE TYPED or PRINTED NAM TELEPHONE 805-549	•	(Page 1 of	R <i>(if</i>			, 2003	=J  	

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application. Commissioner for Patents P.O. Roy 1450, Alexandria VA 22313-1450. ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### PROVISIONAL APPLICATION COVER SHEET Additi nal Page

PTO/SB/16 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Docket Number** INVENTOR(S)/APPLICANT(S) Residence (City and either State or Foreign Country) Given Name (first and middle [if any] Family or Surname Garrett Andrew Smith San Luis Obispo, CA

[Page 2 of 2]

Number

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL **Application Number** Filing Date for FY 2004 First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** ✓ Applicant claims small entity status. See 37 CFR 1.27 Art Unit **TOTAL AMOUNT OF PAYMENT** (\$) 80.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Order Check Credit card 3. ADDITIONAL FEES Other None Large Entity | Small Entity Deposit Account: Fee Fee **Fee Description** Deposit (\$) Code (\$) Fee Paid Account Number 1051 130 2051 65 Surcharge - late filing fee or oath Deposit Surcharge - late provisional filing fee or cover sheet 1052 50 2052 Account Name The Director is authorized to: (check all that apply) 1053 130 1053 130 Non-English specification 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Charge fee(s) indicated below Credit any overpayments 1804 920 Charge any additional fee(s) or any underpayment of fee(s) 1804 920\* Requesting publication of SIR prior to Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1.840 Requesting publication of SIR after Examiner action 1805 1.840° to the above-identified deposit account 1251 110 2251 55 Extension for reply within first month FEE CALCULATION 1252 420 2252 210 Extension for reply within second month 1. BASIC FILING FEE arge Entity Small Entity 1253 950 2253 Extension for reply within third month Fee Description Fee Paid 1254 1.480 2254 740 Extension for reply within fourth month Code (\$) 1,005 Extension for reply within fifth month 1001 770 2001 385 1255 2,010 2255 Utility filing fee 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filling a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 160 2005 Provisional filing fee 80.00 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 80.00 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 665 Utility issue fee (or reissue) 2501 Extra Claims Fee Paid below 1502 480 2502 240 Design issue fee Total Claims X 1503 640 2503 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Fee Code (\$) Fee Fee Code (\$) Fee Description 40 Recording each patent assignment per 8021 40 8021 property (times number of properties) 1202 18 Claims in excess of 20 2202 9 1809 770 2809 385 Filing a submission after final rejection 1201 86 2201 Independent claims in excess of 3 43 (37 CFR 1.129(a)) 290 1203 2203 145 Multiple dependent claim, if not paid 770 1810 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1204 86 \* Reissue independent claims 2204 over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1205 18 \*\* Reissue claims in excess of 20 2205 1802 900 1802 900 Request for expedited examination and over original patent of a design application (\$) 0.00 Other fee (specify) SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid \*\*or number previously paid, if greater, For Reissues, see above SUBTOTAL (3) SUBMITTED BY (Complete (# applicable)) Garrett A. Smith Name (Print/Type) Registration No. Telephone 805-549-0907

(Attorney/Agent) Signature Dec. 16, 2003 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collegion of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Provisional Patent Application

of

George E. Dubois and

Garrett A. Smith

for

**BICYCLE CRANK ASSEMBLY** 

#### **FIELD**

The present invention pertains to a crank assembly for bicycles and, more particularly, to various features of such an assembly that reduce the number of parts, simplify assembly, decrease manufacturing cost, and improve the bearing life of such an assembly.

#### **BACKGROUND**

Bicycling is a sport that requires transferring force from the rider's legs to a driving chain that propels the bicycle. These forces are transmitted to the chain by means of crank arms, which are typically attached to a bearing assembly known as a bottom bracket rotatably installed in a shell of a bicycle frame. Typically the crank arms are attached to both ends of the bottom bracket spindle and are each removable from said spindle. The bottom bracket spindle is rotatably mounted to the bicycle frame by bearings typically located inside the frame shell. Since the bearings are typically located inside the bicycle frame shell, their size is governed by the size of the shell. The size of the frame shell also consequently governs the diameter of the bottom bracket spindle.

It is desirable to increase the diameter of the bottom bracket spindle and the bearings that rotatably mount it to the bicycle frame. Increasing the size of the spindle increases its strength and stiffness while increasing the bearing size allows for longer-lasting more durable bearings.

It is also desirable for assembly of the crank arms and bottom bracket to be as simple and robust as possible. Typical crank assemblies require each crank arm to be assembled onto each spindle end after the bearing units are installed into the frame. This complicates assembly, results in many joints between assembly parts, and leads to high part counts. Variances in frame shell tolerances or assembly procedures can cause problems with crank assemblies that are not designed to accommodate these tolerances.

Another problem related to assembly involves alignment of the bearing races. To maximize bearing life of the bottom bracket it is critical that the bearing races be correctly aligned such that the bearings are not subjected to additional axial loading due to part tolerances or assembly procedures. Bearings that are over-loaded quickly fail. Most current bearing assemblies require tight tolerances or strict assembly procedures to prevent bearing from becoming axially over-loaded.

Further, typical bottom bracket systems use the same size and method of loading for each bearing unit used in bottom bracket. However, this makes it impossible to balance the loads placed upon the bearings so that bearing life is maximized. Also, most spindles are integrated within the bearing units themselves such that when the bearings wear out the entire unit must be replaced.

#### OBJECTS OF THE INVENTION

The objects of the invention are to provide a bicycle crank assembly:

 a) with the fewest number of pieces and interfaces between pieces such that manufacturing cost is minimized;

- b) in which both bearings of the assembly are located outboard from the frame shell such that their size and the size of the bottom bracket spindle is not constrained by said frame shell;
- c) that is simple to install and robust with respect to installation procedure variations;
- d) that is easy to disassemble for routine maintenance;
- e) that does not rely on assembly tolerances or procedures to adjust the axial bearing loads and can accommodate large tolerance deviations of the frame shell;
- f) that balances the loading of the bearing units such that bearing life for the system is maximized;
- g) that is well sealed to protect the bearings from contamination yet still allows an escape path for contaminates that may get through the seal system, such that the potential for bearing damage is minimized.

#### SUMMARY OF THE INVENTION

The present invention is directed to a bicycle crank assembly that achieves the above objectives. The preferred embodiment of the present invention comprises a spindle integral with a drive crank arm, a non-drive crank arm, and two bearing assemblies. Both bearing assemblies are installed in a bicycle frame such that the bearings are located outboard in an axial direction from the outer surfaces of the frame shell. The drive crank arm with it integrated spindle is slid though both bearing assemblies and the non-drive crank arm is then assembled onto its free end. The inner race of the bearing assembly located closest to the non-drive crank arm is clamped between a shoulder on the spindle

and a side surface of the non-drive crank arm by tightening a bolt system. In this way the assembly consists of few parts, has only one joint connecting the parts together, is simple and robust to install, is not affected by variations in the width of the frame shell, and balances the loading between bearing units such that bearing life is maximized.

Another embodiment of the present invention is similar to the preferred embodiment except that the drive crank arm is formed from a fiber-resin composite material and integrally bonded with the spindle. In this way the number of parts is further reduced and the assembly made very lightweight through the effective use of composite materials.

Yet another embodiment of the present invention is similar to the preferred embodiment except the drive crank arm and spindle are formed monolithically as one piece. In this way the number of parts is further reduced and the strength is increased while maintaining the same benefits as the original embodiment.

In the preferred embodiment of the bearing assemblies used to rotatably mount the spindle to the frame, the bearing assembly comprises of a bearing adapter, a cartridge bearing unit, and an external seal. The cartridge bearing unit is of a standard design and comprises an inner bearing race, outer bearing race, a plurality of rolling bearing elements, and at least one seal. The seal on the cartridge bearing unit is designed such that it rotates with the outer bearing race and rubs against the inner bearing race. In contrast, the external seal on the bearing assembly is designed to rotate with the inner bearing race and spindle and rub on its outer diameter against the bearing adapter. In this way the bearing assembly is protected from the elements by a two-seal system. The two-seal system, with the cartridge bearing seal rubbing on its inner diameter and the external

seal rubbing on its outer diameter act together to minimize the potential for contamination of the bearings while allowing any water that might enter the external seal to be easily expelled.

In the preferred embodiment of the bolt system used to tighten the non-drive crank arm onto the spindle the bolt consists of a threaded portion and flanged portion. The outer surface of the flange portion in tapered conically relative to the bolt axis. The system further comprises a cup threaded into the non-drive crank arm exterior to the bolt flange such that the bolt lies between a flange on the cup and the non-drive crank arm. An inner flange surface of the cup is conically tapered relative to the bolt axis such that it can mate with the corresponding conically tapered bolt flange. When the bolt is unscrewed, the cup acts as an axial stop whereby unscrewing the bolt extracts the non-drive crank arm from the spindle. In this manner the assembly is easily disassembled, the bolt is always contained within the non-drive crank arm such that it cannot get lost, and the height of the bolt and cup system is minimized thereby increasing ankle clearance for the rider.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a side view of a bicycle that includes a particular embodiment of a crank assembly according to the present invention.

Figure 2 is a rear cross-sectional view of the preferred embodiment of a crank assembly according to the present invention.

Figure 3A is an isometric partial cross-sectional view of the preferred embodiment of a bearing adapter shown in Figure 2.

Figure 3B is an isometric partial cross-sectional view of the preferred embodiment of a bearing adapter shown in Figure 2.

Figure 4A is a cross-sectional view of the preferred embodiment of the seal shown in Figure 3A.

Figure 4B is a cross-sectional view of the preferred embodiment of the seal shown in Figure 3B.

Figure 5 is an isometric partial cross-sectional view of the preferred embodiment of the drive crank arm and integrated spindle shown in Figure 2.

Figure 6 is an isometric partial cross-sectional view of the preferred embodiment of the non-drive crank arm shown in Figure 2.

Figure 7A is an isometric partial cross-sectional view of the washer shown in Figure 2.

Figure 7B is an isometric partial cross-sectional view of the bolt shown in Figure 2.

Figure 7C is an isometric partial cross-sectional view of the cup shown in Figure 2.

Figure 8 is an isometric partial cross-sectional view for an alternate embodiment of the drive crank arm and integrated spindle shown in Figure 5.

Figure 9 is an isometric partial cross-sectional view for another alternate embodiment of the drive crank arm and integrated spindle shown in Figure 5.

#### DETAILED DESCRIPTION OF THE EMBODIMENTS

Figure 1 is a side view of a bicycle 10 that incorporates a particular embodiment of a crank assembly according to the present invention. Bicycle 10 may be any type of bicycle and in this embodiment comprises a typical frame 11 including a frame shell 12. A crank assembly 20 is rotatably mounted to frame shell 12. Chain 13 engages at least one chainwheel 49 attached to drive crank arm 40 and at least one sprocket 14 attached to rear wheel 15. Thus bicycle 10 is propelled by rider generated forces propagating through chainwheel 49, chain 13, sprocket 14, and rear wheel 15.

Figure 2 is a rear cross-sectional view of the preferred embodiment of a crank assembly 20 rotatably mounted to frame shell 12. Crank assembly 20 comprises bearing assemblies 30a, 30b, spacer tube 39, drive crank arm 40 integral with spindle 50, and non-drive crank arm 60. Non-drive crank arm 60 is attached to the free end of spindle 50

via an interface portion 61 which mates to spindle interface portion 52. Bolt 70 holds non-drive crank arm 60 onto spindle 50.

Figure 3A shows the preferred embodiment of bearing assembly 30a, comprising cartridge bearing unit 31a, bearing adapter 32a, and external seal 80. Bearing adapter 32a comprises flanged portion 34a and threaded portion 35a. Threaded portion 35a mates with corresponding threads in frame shell 12 and is tightened until flange portion 34a contacts the outside face 12a of frame shell 12. Cartridge bearing unit 31a is press-fit inside flange portion 34a of bearing adapter 32a such that bearing 31a is located distally outboard from the outside face 12a of frame shell 12. Cartridge bearing unit 31a is of standard design and comprises outer race 301, inner race 302, seals 303, and a plurality of rolling bearing elements 304. Seals 302 are designed to be rotatably fixed to outer race 301 such that seal 302 rubs on its inner diameter against inner race 302.

Figure 3B shows the preferred embodiment of bearing assembly 30b, comprising cartridge bearing unit 31b which is identical to cartridge bearing unit 31a, bearing adapter 32b, external seal 90, and bearing reducer 33. Bearing reducer 33 comprises flange portion 33a and cylindrical portion 33b. Cylindrical portion 33b of bearing reducer 33 is press-fit into cartridge bearing unit 31b from the inside out until flange portion 33a contacts the inner race of cartridge bearing unit 31b. In this way the same size cartridge bearing can be used in both bearing assemblies 30a and 30b. In an alternative embodiment cartridge bearing unit 31b may be sized differently than cartridge bearing unit 31a such that bearing reducer 33 is not needed. In this case the inner race diameter of cartridge bearing unit 31b would be smaller than the inner race 302 diameter of cartridge bearing unit 31a.

Bearing adapter 32b comprises flanged portion 34b and threaded portion 35b.

Threaded portion 35b mates with corresponding threads in frame shell 12 and is tightened until flange portion 34b contacts the outside face 12b of frame shell 12. In this particular embodiment cartridge bearing unit 31b is identical to cartridge bearing unit 31a and is press-fit inside flange portion 34b of bearing adapter 32b such that cartridge bearing unit 31b is located distally outboard from the outside face 12b of frame shell 12.

It has been discovered that due to pedaling loads applied to the crank arms and the resulting chain forces generated through chainwheel 49, that bearing assembly 30a has greater radial force applied to it than bearing assembly 30b. This results from the fact that chainwheel 49 is closest to bearing assembly 30a and farthest from bearing assembly 30b. Thus, the sum of the radial forces on the bearing assembly closest to chainwheel 49 consists of the forces going through chainwheel 49 plus the loads due to pedaling and this force sum is highest on the bearing assembly closest to chainwheel 49 and lowest on the bearing assembly farthest from chainwheel 49. Since bearing life increases if the loading on the bearing is minimized, it is desirable to balance the forces applied to bearing assemblies 30a and 30b such that the total loading for each bearing assembly is minimized. Thus it has been discovered that bearing assembly 30b should carry the additional axial forces such that these forces do not pass through the higher loaded bearing assembly 30a. To broaden this concept, it is an important discovery of the present invention that the bearing assembly located farthest from chainwheel 49 should carry both axial and radial loads, while the bearing assembly closest to chainwheel 49 should carry only radial loads. In this way the bearing life of crank assembly 20 is maximized.

The present invention accomplishes this though clever assembly design as will be discussed in more detail below.

Figure 4A is a cross-sectional view of the preferred embodiment of seal 80 which comprises rubber portion 81 and metal portion 82. Rubber portion 81 comprises outer rubbing element 81a and inner rubbing element 81b. Flange portion 34a of bearing adapter 32a comprises groove 83 in which outer rubbing element 81a of seal 80 is installed. Groove 83 is designed such that rubber portion 81 of seal 80 must be slightly deformed when it is installed into said groove 83. Once installed rubber portion 81 will substantially return to its original shape and thus be retained inside groove 83. Inner rubbing element 81b is sized such that it is smaller in diameter than spindle 50 at the axial location of spindle 50 where inner rubbing element 81b contacts spindle 50 when crank assembly 20 is completely installed. Groove 83, outer rubbing element 81a, inner rubbing element 81b, and spindle 50 are all sized such that the friction between inner rubbing element 81b and spindle 50 is greater than the friction between outer rubber element 81a and groove 83. Thus seal 80 is rotatably fixed to spindle 50 but free to rotate inside groove 83 of bearing adapter 32a.

Figure 4B is a cross-sectional view of the preferred embodiment of seal 90 which comprises rubber portion 91 and metal portion 92. Rubber portion 91 comprises outer rubbing element 91a. Flange portion 34b of bearing adapter 32b comprises groove 93 in which outer rubbing element 91a of seal 90 is installed. Groove 93 is designed such that rubber portion 91 of seal 90 must be slightly deformed when it is installed into said groove 93. Once installed rubber portion 91 will substantially return to its original shape and thus be retained inside groove 93. The inner diameter of metal portion 92 is sized

such that it is slightly larger in diameter than the inner diameter of bearing reducer 33. If an embodiment of crank assembly 20 does not include bearing reducer 33 then the inner diameter of metal portion 92 is sized such that it is slightly larger than the inner diameter of bearing 31b. In this way spindle 50 is easily inserted through bearing 31b without contacting seal 90.

Figure 5 is an isometric partial cross-sectional view of the preferred embodiment of drive crank arm 40 and integrated spindle 50. Drive crank arm 40 comprises pedal adapting portion 41, crank arm portion 42, and spindle adapting portion 43. Support projections 48 are provided on drive crank arm 40 for attaching at least one chainwheel 49 for driving a chain 13 to propel bicycle 10.

Spindle 50 comprises flange portion 51, drive adapting portion 52, bearing race portions 53a and 53b, shoulder portion 54, non-drive adapting portion 55, and threaded portion 56. In this particular embodiment drive adapting portion 52 comprises a plurality of splines for mating with a plurality of corresponding splines in spindle adapting portion 43 of drive crank arm 40. Similarly, non-drive adapting portion 55 comprises a plurality of splines for mating with a plurality of corresponding splines in spindle adapting portion 63 of non-drive crank arm 60. Non-drive adapting portion 55 is of a smaller diameter than drive adapting portion 52. Bearing race portion 53b is of smaller diameter than bearing race portion 53a with shoulder portion 54 disposed therebetween.

Spindle adapting portion 43 of drive crank arm 40 comprises cylindrical bore 44 inside of which are formed a plurality of splines 45 for meshing with drive adapting portion 52 of spindle 50. Flange 51 of spindle 50 abuts against an outer side surface of cylindrical bore 44. In this embodiment spindle 50 may be either press-fit into cylindrical

bore 44 or crank arm 40 may be heat-shrunk onto spindle 50. In this manner spindle 50 is integrated with drive crank arm 40 in a non-rotatable manner.

Figure 6 is an isometric partial cross-sectional view of the preferred embodiment of non-drive crank arm 60. Non-drive crank arm 60 comprises pedal adapting portion 61, crank arm portion 62, and spindle adapting portion 63. Spindle adapting portion 63 comprises cylindrical bore 64 and inner side surface 64a. A plurality of splines 65 for meshing with non-drive adapting portion 55 of spindle 50 are formed on the interior of cylindrical bore 64. Splines 65 in cylindrical bore 64 and their corresponding splines on non-drive adapting portion 55 are tapered in an axial direction such that as non-drive crank arm 60 is installed onto the free end of spindle 50 the fit between the mating splines tightens. This ensures that non-drive crank arm 60 is tightly engaged with spindle 50 such that relative movement between them is prevented. Bolt 70 is used to draw non-drive crank arm 60 onto spindle 50 in an axial direction until inner side surface 64a contacts seal 90. Spindle adapting portion 63 further comprises cylindrical bore 66 terminating in outer side surface 67. Cylindrical bore 66 is larger in diameter than cylindrical bore 64 and has threads 68 formed on its interior. Outer side surface 67 is perpendicular to the axis of cylindrical bore 66.

Figure 7A is an isometric partial cross-sectional view of washer 74. Washer 74 comprises inner side surface 74a and outer side surface 74b. Inner side surface 74a mates against outer side surface 67 of non-drive crank arm 60.

Figure 7B is an isometric partial cross-sectional view of bolt 70 comprising flange portion 71, threaded portion 72, and tool-engaging portion 73. Threaded portion 72 mates with corresponding spindle threaded portion 56. Flange portion 71 comprises

inner side surface 71a and outer side surface 71b. Inner side surface 71a is perpendicular to the bolt axis and mates against washer outer side surface 74b. Bolt flange outer side surface 71b is at an angle  $\Omega$  relative to the bolt axis; in this particular embodiment angle  $\Omega$  is 60 degrees but may be anywhere between 40 and 75 degrees. The angle  $\Omega$  of outer side surface 71b allows cup 75 to be smaller in an axial direction than if the outer side surface 71b were perpendicular to the bolt axis because the contact area between bolt outer side surface 71b and cup inner side surface 77a is greater, thus reducing the contact stress. Tool-engaging portion 73 is formed on the inner bore of bolt 70 such that an unshown assembly tool can non-rotatably engage bolt 70 for tightening. In this particular embodiment tool-engaging portion 73 is shaped for interfacing with a standard Allen wrench.

Figure 7C is an isometric partial cross-sectional view of cup 75 comprising threaded portion 76 and flange portion 77. Threaded portion 76 mates with corresponding non-drive crank arm threads 68. Flange portion 77 comprises inner side surface 77a and tool-engaging portion 78. Inner side surface 77a is orientated at an angle  $\Omega$  relative to the axis of threaded portion 76. Angle  $\Omega$  of inner side surface 77a matches that of bolt outer side surface 71b such that the two side surfaces mate together. Tool-engaging portion 78 is formed on the inner bore of flange 77. In this particular embodiment tool-engaging portion 78 comprises a plurality of splines for non-rotatably engaging with a plurality of splines on an un-shown assembly tool. Alternatively, tool-engaging portion 78 may comprise of a standard tool interface pattern such as that found on an Allen or Torx wrench. Regardless of the shape, cup tool-engaging portion 78 is larger in diameter than

bolt tool-engaging portion 73, such that the tool for tightening bolt 70 may pass through cup tool-engaging portion 78 without interference.

The purpose of cup 75 is to act as an axial stop element for bolt 70. In this manner bolt 70 contacts cup 75 when bolt 70 is loosened and thus pushes non-drive crank arm 60 off of spindle 50. Thus crank assembly 20 is easily disassembled for maintenance. To accomplish this it is important that the friction present between bolt outer side surface 71b and cup inner side surface 77a is smaller in magnitude than the friction present between cup threaded portion 76 and non-drive crank arm threads 68. This may be accomplished through the use of a friction modifying coating on either cup 75 or bolt 70, such as PTFE impregnation. In an alternative embodiment, a friction-reducing washer may be disposed between bolt 70 and cup 75.

It should be noted that cup 75 is shown in this preferred embodiment but additional embodiments of the present invention may not use cup 75. In this instance, an un-shown disassembly tool may be used to remove non-drive crank arm 60 from spindle 50. Thus cup 75 is not a requirement for the proper operation of crank assembly 20 but does simplify its disassembly.

Figure 8 is an isometric partial cross-sectional view of an alternate embodiment of drive crank arm 40 and integrated spindle 50 shown in Figure 5. In this embodiment spindle 500 is integrally bonded with drive crank arm 400 which is made from a fiber-resin composite material. Drive crank arm 400 comprises pedal adapting portion 401, fiber-resin composite shell 402, and interior foam portion 403. Support projections 408 are provided on either drive crank arm 400 or spindle 500 for attaching at least one chainwheel 49 for driving a chain 13 to propel bicycle 10.

Spindle 500 comprises drive adapting portion 501, bearing race portions 502a and 502b, shoulder portion 503, non-drive adapting portion 504, and threaded portion 505. In this particular embodiment drive adapting portion 501 comprises a smooth continuous surface upon which are formed a plurality of un-shown protrusions. Fiber-resin composite shell 402 of drive crank arm 400 is formed over interior foam portion 403 and drive adapting portion 501 of spindle 500 and cured, such that spindle 500 is integrally bonded with drive crank arm 400 in a non-rotatable manner. Apart from this difference in construction, drive crank arm 400 and spindle 500 are identical to the embodiments shown in Figure 5.

Figure 9 is an isometric partial cross-sectional view of another alternate embodiment of drive crank arm 40 and integrated spindle 50 shown in Figure 5. In this embodiment spindle 510 is monolithically formed with drive crank arm 410 using common three-dimensional forming technology such as forging or casting. Drive crank arm 410 comprises pedal adapting portion 411, crank arm portion 412, and monolithically integrated spindle 510. Support projections 418 are provided on either drive crank arm 410 or spindle 510 for attaching at least one chainwheel 49 for driving a chain 13 to propel bicycle 10.

Spindle 510 comprises bearing race portions 512a and 512b, shoulder portion 513, non-drive adapting portion 514, and threaded portion 515. Apart from the difference of spindle 510 being monolithically formed with drive crank arm 410, drive crank arm 410 and spindle 510 are identical to the embodiments shown in Figure 5.

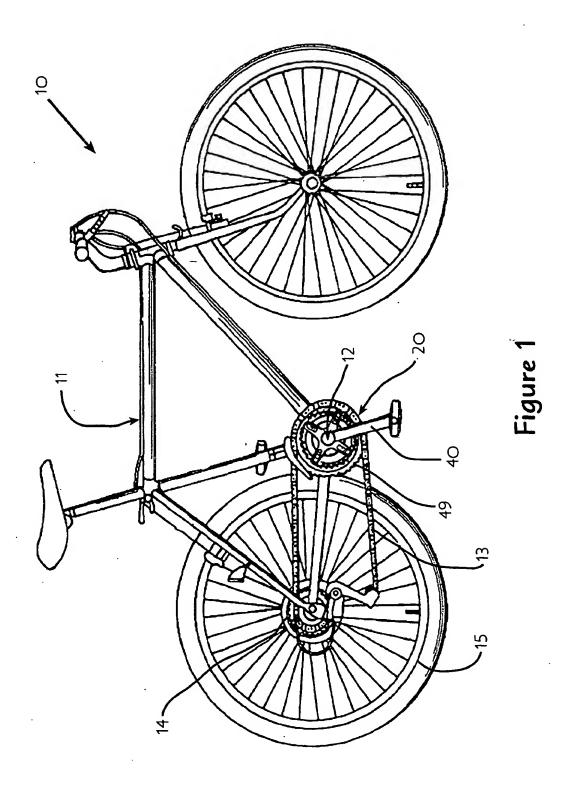
The assembly procedure for the preferred embodiment of the present invention will now be described. Spacer tube 39 is installed into bearing assembly 30a and then

bearing assemblies 30a and 30b are screwed into frame shell 12. Thus spacer tube 39 is disposed between bearing assemblies 30a and 30b and serves to seal the bearing assemblies from any contamination that enters frame shell 12. Integrated spindle 50 on drive crank arm 20 is then inserted through bearing assembly 30a and bearing assembly 30b until shoulder portion 54 contacts flange portion 33a of bearing reducer 33. Non-drive crank arm 60 is then installed onto non-drive adapting portion 55 of spindle 50. Bolt 70 is threaded into spindle threaded portion 56 and tightened, drawing crank arm 60 onto spindle 50 until inner side surface 64a contacts seal 90. As bolt 70 is tightened, washer 74, non-drive crank arm 60, seal 90, bearing 31b, and bearing reducer 33 are clamped tightly between bolt flange 71 and spindle shoulder 54.

The present invention therefore has a number of desirable and novel properties.

Crank assembly 20 is rotatably mounted to frame shell 12 by both bearing assemblies 30a and 30b but fixed in an axial direction only by bearing assembly 30b, which is located farthest from chainwheel 49. Bearing assembly 30a, which is located closest to chainwheel 49, is not constrained in an axial direction on spindle 50 such that it can only carry radial loads. Thus, bearing loads are balanced between bearing assemblies 30a and 30b such that bearing life is maximized. Additionally, tolerances associated with differing lengths of frame shell 12 do not affect axial bearing loads because bearing assembly 30a is free to move in an axial direction on spindle 50 to accommodate for these different lengths. Further, there is no need for the assembler to manually adjust the axial distance between bearing assemblies 30a and 30b to satisfy a pre-determined axial bearing load allowance. In this way, bearing assemblies 30a and 30b are not subjected to axial force as a result of installation and their service life is not affected by assembly

practices. Finally, assembly and disassembly of the entire system is quick and simple with a minimum of required tools.



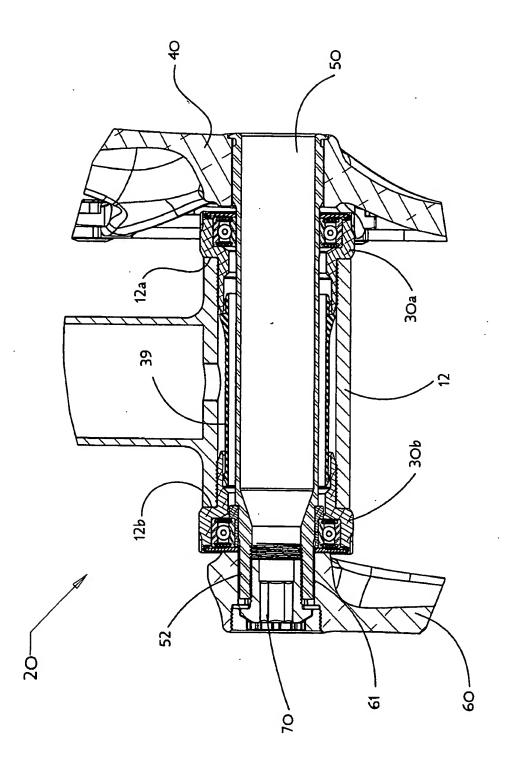
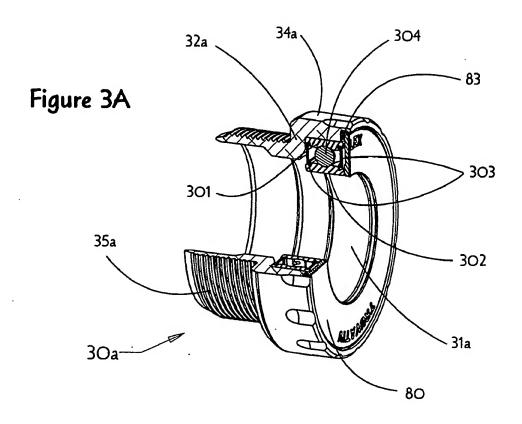
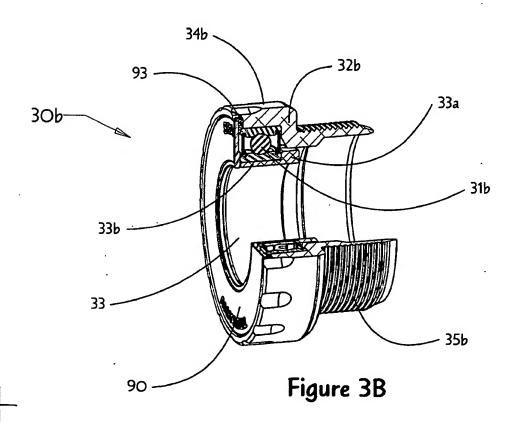


Figure 2





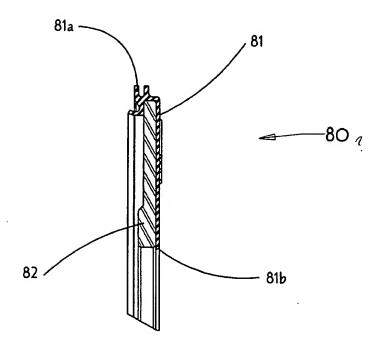
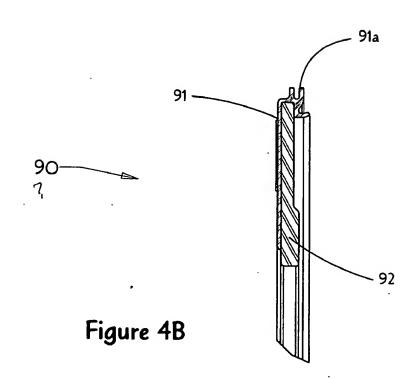
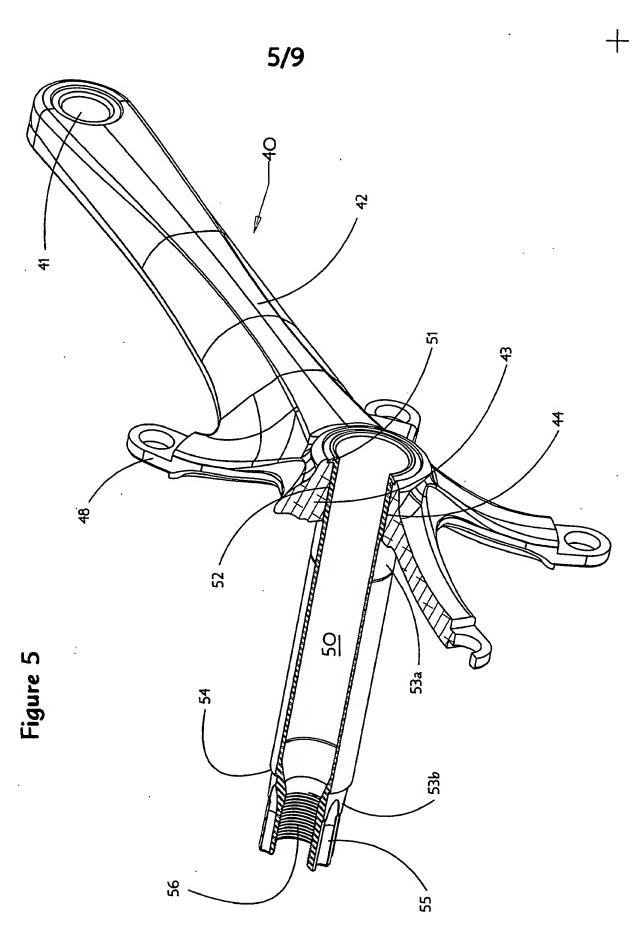
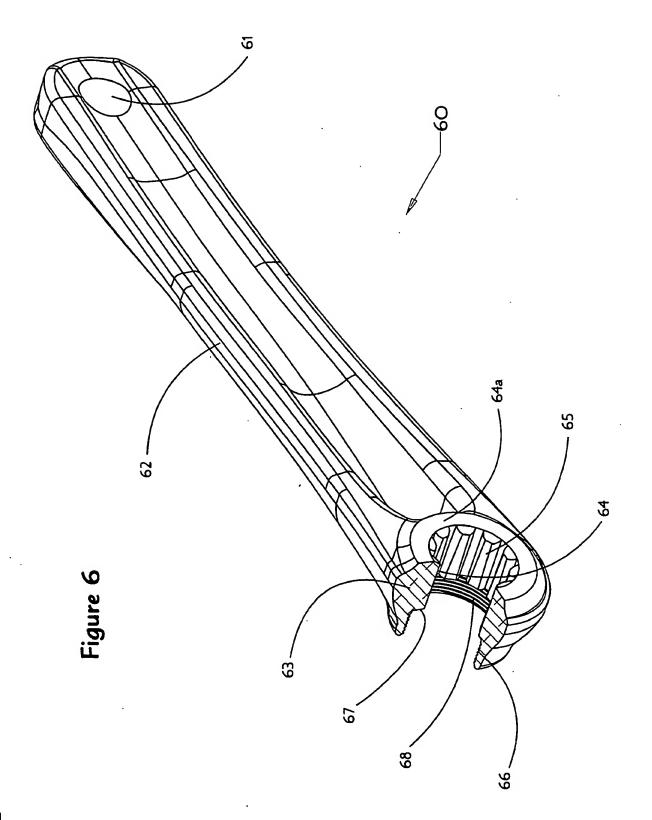


Figure 4A





- 1



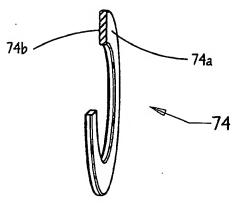


Figure 7A

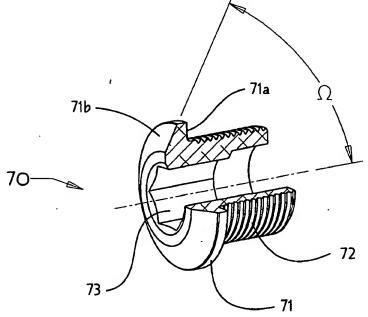


Figure 7B

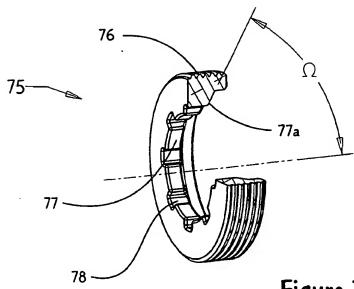
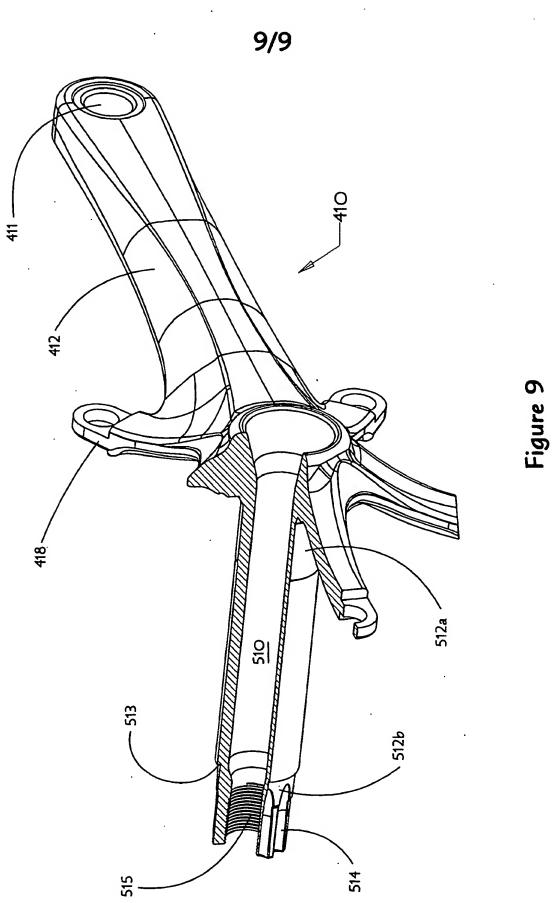


Figure 7C



# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US04/042295

International filing date: 16 December 2004 (16.12.2004)

Document type: Certified copy of priority document

Document details: Country/Office: US

Number: 60/530,273

Filing date: 16 December 2003 (16.12.2003)

Date of receipt at the International Bureau: 28 January 2005 (28.01.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)



## This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

#### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS
IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
Пожить

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.